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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/651,800	08/30/2000	Simona Cohen	6727/0H610	2081

7590 04/21/2006  
Darby & Darby P C  
805 Third Avenue  
New York, NY 10022

EXAMINER

STORK, KYLE R

ART UNIT	PAPER NUMBER
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2178

DATE MAILED: 04/21/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/651,800

Applicant(s)

COHEN ET AL

Examiner

Kyle R. Stork

Art Unit

2178

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 20 December 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-34 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-34 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. This non-final office action is in response to the remarks filed 20 December 2005.
  2. Claims 1-34 are pending. Claims 1, 12, 22, and 30-32 are independent claims.
- The rejection of claims 1-34 have been withdrawn.

### ***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-8, 12-17, 19-28, and 30-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Swamy et al. (US 6874141, filed 29 June 2000, hereafter Swamy), and further in view of Webber (US 6418400, filed 30 December 1998).

As per independent claim 1, Swamy discloses a method for processing source data from a plurality of diverse sources in a selected data domain, comprising:

- Specifying a unified schema that is defined specifically for the selected data domain, the specified schema listing markup tags in the selected data domain that can exist in a document in the markup language (Figure 2: Here, XSLT is a schema definition containing markup tags for specifying validation rules for an XML document)
- Defining correspondences of data fields from the source to the markup tags listed by the selected schema (Figure 2, item 28: Here, the XSL

engine (item 26) maps the source data (item 22) using the correspondences (item 28) into a unified data (item 24))

- Mapping the source data in accordance with the correspondences to generate unified data in the markup language (Figure 2: Here, the XSL engine (item 26) maps the source data (item 22) using the correspondences (item 28) into a unified data (item 24))

Swamy fails to specifically disclose the schema being selected from a plurality of schemata that are specific to different data domains selected from a group of domains consisting of computer system performance evaluation, customer relationship management, healthcare, and telecommunications. Webber discloses schema being selected from a plurality of schemata that are specific to different data domains selected from a group of domains consisting of computer system performance evaluation, customer relationship management, healthcare, and telecommunications (column 8, lines 61-64: Here a DTD is disclosed; column 10, lines 15-22: Here, a plurality of domains, including healthcare, are disclosed). It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to have combined Webber with Swamy, since it would have allowed a user to map processes for specific transactions to schemas (Webber: column 10, lines 23-26).

As per dependent claim 2, Swamy and Webber disclose the limitations similar to those in claim 1, and the same rejection is incorporated herein. Swamy further discloses wherein the markup language comprises XML (Figure 2).

As per dependent claim 3, Swamy and Webber disclose the limitations similar to those in claim 2, and the same rejection is incorporated herein. Webber further discloses use of a DTD (column 8, lines 61-64). It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to have combined Webber with Swamy and Webber, since it would have allowed a user to define rules according to a standard (Webber: column 8, lines 61-64).

As per dependent claim 4, Swamy and Webber disclose the limitations similar to those in claim 2, and the same rejection is incorporated herein. Swamy further disclose wherein defining the correspondences comprises defining data transformation rules in XSL (Figure 2, item 28).

As per dependent claim 5, Swamy and Webber disclose the limitations similar to those in claim 4, and the same rejection is incorporated herein. Swamy further discloses wherein mapping the source data comprises transforming the data using an XSL engine (Figure 2, item 26).

As per dependent claim 6, Swamy and Webber disclose the limitations similar to those in claim 1, and the same rejection is incorporated herein. Swamy further discloses wherein defining the correspondences comprises selecting one or more of the data fields in the sources to correspond to one of the markup tags in the schema, and determining a conversion function to apply to the one or more data fields (column 3, lines 53-67).

As per dependent claim 7, Swamy and Webber disclose the limitations similar to those in claim 6, and the same rejection is incorporated herein. Swamy further

discloses wherein determining the conversion function comprises determining the function so as to generate a data element indicated by the corresponding one of the markup tags (column 3, lines 53-67).

As per dependent claim 8, Swamy and Webber disclose the limitations similar to those in claim 6, and the same rejection is incorporated herein. Swamy further discloses wherein determining the conversion function comprises determining the function to generate an attribute of the unified data indicated by the corresponding one of the markup tags (column 11, line 66- column 12, line 12).

As per dependent claim 10, Swamy and Webber disclose the limitations similar to those in claim 1, and the same rejection is incorporated herein. Swamy further discloses querying the sources by addressing a query to the unified data in the markup language (column 3, line 53-67: Here, the source tree is queried to determine the source node dependencies necessary for mapping).

As per dependent claim 11, Swamy and Webber disclose the limitations similar to those in claim 10, and the same rejection is incorporated herein. Swamy further discloses the method wherein mapping the source data comprise mapping the source data responsive to the query (column 3, lines 53-67).

As per independent claims 12 and 22, the applicant discloses the limitations substantially similar to those in claim 1. Claims 12 and 22 are similarly rejected.

As per dependent claims 13 and 23, the applicant discloses the limitations substantially similar to those in claim 2. Claims 13 and 23 are similarly rejected.

As per dependent claims 14 and 24, the applicant discloses the limitations substantially similar to those in claim 3. Claims 14 and 24 are similarly rejected.

As per dependent claims 15 and 25, the applicant discloses the limitations substantially similar to those in claim 4. Claims 15 and 25 are similarly rejected.

As per dependent claims 16 and 26, the applicant discloses the limitations substantially similar to those in claim 5. Claims 16 and 26 are similarly rejected.

As per dependent claim 17, the applicant discloses the limitations substantially similar to those in claim 6. Claim 17 is similarly rejected.

As per dependent claims 19 and 27, the applicant discloses the limitations substantially similar to those in claim 10. Claims 19 and 27 are similarly rejected.

As per dependent claims 20 and 28, the applicant discloses the limitations substantially similar to those in claim 11. Claims 20 and 28 are similarly rejected.

As per dependent claim 21, Swamy and Webber disclose the limitations similar to those in claim 12, and the same rejection is incorporated herein. Swamy further discloses a plurality of distributed data storage devices, which hold the diverse data sources, wherein the processor is adapted to retrieve the source data from the distributed devices (column 19, line 12- column 21, line 40).

As per independent claim 30, the applicant discloses the limitations substantially similar to those in claims 1 and 10. Claim 30 is similarly rejected.

As per independent claim 31, the applicant discloses the limitations substantially similar to those in claims 1 and 10-11. Claim 31 is similarly rejected.

As per independent claim 32, the applicant discloses the limitations substantially similar to those in claim 31. Claim 32 is similarly rejected.

As per dependent claim 33, the applicant discloses the limitations substantially similar to those in claim 11. Claim 33 is similarly rejected.

As per dependent claim 34, the applicant discloses the limitations substantially similar to those in claim 6. Claim 34 is similarly rejected.

5. Claims 9, 18, and 29 are rejected under 35 U.S.C. 103(a) as being unpatentable over Swamy and Webber, and further in view of Walsh et al. (US 6810429, filed 3 February 2000, hereafter Walsh).

As per dependent claim 9, Swamy and Webber disclose the limitations similar to those in claim 1, and the same rejection is incorporated herein. Swamy further suggests mapping from one code language to another code language via mapping (column 11, line 66- column 12, line 12). However, Swamy does not specifically disclose the source data being in a format other than the markup language, and mapping from the source language to the markup language. However, Walsh discloses the source data being in a format other than the markup language, and mapping from the source language to the markup language (column 10, lines 2-13: Here, HTML is the markup language and XML is the source language). It would have been obvious to one of ordinary skill in the art at the time of the applicant's invention to have combined Walsh with Swamy, since it would have allowed a user to map data for view using a standard browser (Walsh: column 10, lines 2-13).



As per dependent claims 18 and 29, the applicant discloses the limitations substantially similar to those in claim 9. Claims 18 and 29 are similarly rejected.

### ***Response to Arguments***

6. Applicant's arguments with respect to claims 1-34 have been considered but are moot in view of the new ground(s) of rejection.

As disclosed above, a new rejection has been presented to meet the applicants claim limitations.

### ***Conclusion***

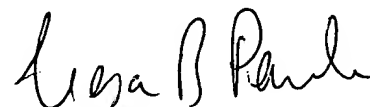
Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kyle R. Stork whose telephone number is (571) 272-4130. The examiner can normally be reached on Monday-Friday (8:00-4:30).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen Hong can be reached on (571) 272-4124. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Kyle Stork  
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**PRIMARY EXAMINER**